

# A novel family of twisted molecular luminescent materials containing carbazole unit for single-layer organic electroluminescent devices

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## Abstract

A novel family of twisted naphthalimide–carbazole dyads (NI–VK) and triads (NI–VK–NI) with moieties capable of carrier-balance and electroluminescence was synthesized. The key molecular structure design includes the use of carrier-transporting fragments, together with aliphatic side groups that can eliminate the recrystallization tendency and favor the formation of stable amorphous state. It shows that there exists an effective singlet–singlet energy transfer in dyads (NI–VK) and triads (NI–VK–NI) due to the overlap between the absorption of naphthalimide moiety and the emission of carbazole moiety. Such promising twisted emitters with glass-transition temperature of  $T_g > 115^\circ\text{C}$ , which can generate stable cation and anion radicals for exciton recombination, were successfully utilized in single-layer electroluminescent (EL) device to avoid the layer–layer exciton quenching and micro-cavity effect. The single- and multi-layer devices fabricated with these compounds have been studied in an effort to improve the device efficiency and to gain insight into the luminescent mechanism. As for typical preliminary single-layer EL devices, only emission from naphthalimide was observed with maximum luminescence of  $260\text{ cd/m}^2$  at the driving voltage of 18 V.

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**Keywords:** Single-layer OLED; Carbazole; Naphthalimide; Luminescence

## 1. Introduction

Organic electroluminescent (EL) devices are of great interest because of their efficient emission in the visible region and their potential application in full color large-area flat panel displays [1]. Electroluminescence in polymeric or molecular materials is obtained by the recombination of electrons and holes injected at the anode and the cathode, respectively. Much effort has been put into the development of new organic EL molecular materials and appropriate device structures to get high-efficiency and stable devices with defined emission colors [2–6]. One reason is that low molecular weight materials can be fabricated into homogenous films with fewer defects by using high-vacuum deposition technology. The easy purification and high quantum efficiency of small molecules is another reason for the success of the EL devices [7].

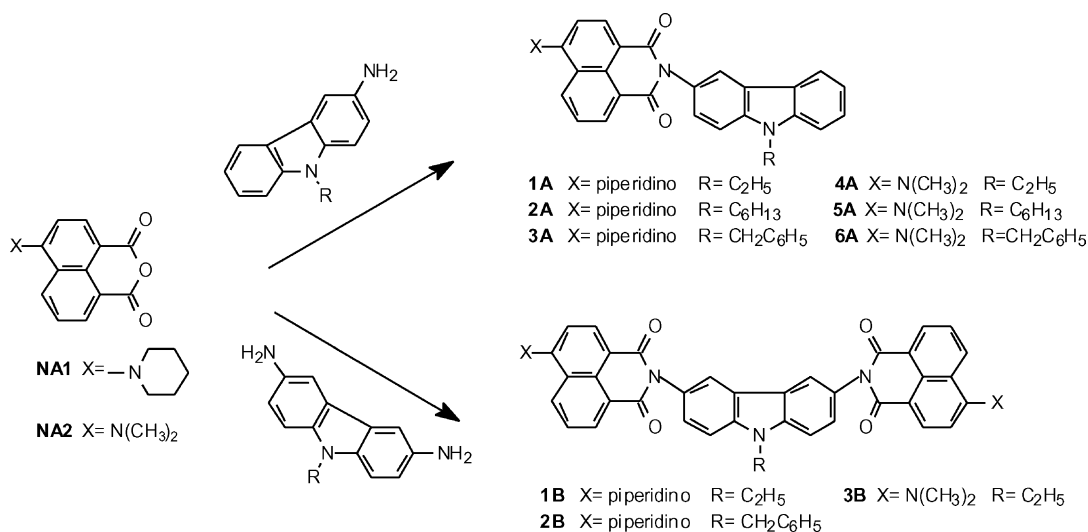
To achieve high luminescent efficiency, a multi-layer structure with hole and electron-transporting layers was usually employed to facilitate charge injection and transport. Such structure allows for inserting hole- or electron-blocking

layers adjacent to the emission layer to maximize recombination of carriers. However, the fabrication of multi-layer devices is tedious and difficult to select the appropriate to optimize the device performance. To solve this problem, the blend polymers [8,9] or dopants [10] as emitters sandwiched between two electrodes (typical single-layer sandwiched EL device) is a good choice. However, the complication of doping, such as low morphological stability, phase-separation and low well-distribution, dramatically reduces device operation life-time. Considering these disadvantages, it is an alternative way to synthesize bipolar emitters that possess both a hole-transporting segment and an electron affinitive segment in order to enhance the charge injection/transport ability. Recently, several groups have reported single-layer OLED [11–13]. Hanack and coworkers reported maximum luminance of single-layer OLED with  $2.5\text{ cd/m}^2$  at a driving voltage of 20 V [11]. Bock and coworkers also demonstrated a single-layer OLED with  $100\text{ cd/m}^2$  [12]. When this strategy is extended, it is possible to obtain three-in-one molecules possessing emitting, electron and hole-transporting characteristics for single-layer OLED [2].

Naphthalimide derivatives usually exhibit strong fluorescent emission on irradiation, which are well known to act

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Scheme 1. Synthetic route of NI-VK and NI-VK-NI compounds.

as supermolecular moieties for the study of photo-induced electron transfer [14,15], fluorescence switcher [16] or liquid crystal displays [17]. Recently, a great light has been shed on naphthalimide utilized as EL materials [12,18–20]. The fluorescence emission can be widely tuned (from blue to yellow, green and even red) with amino- and alkoxy-groups at the 4-position of naphthalimide. It implies that naphthalimide derivatives are a new type of electron-transporting emitting materials for full color with high performance, such as good photo-stability, high fluorescent quantum yield and high electron affinity (about 3.1 eV) [18]. In continuation of our previous interests in materials suitable for OLEDs [21–23], we further report the synthesis and photo-/electro-luminescent properties of the twisted carbazole–naphthalimide dyads (NI–VK) and novel triads (NI–VK–NI) shown in Scheme 1. As expected, the incorporation of hole-transporting carbazole moiety (HOMO level is 5.80 eV) could regulate the current flow and hence increase the internal quantum efficiency by improving charge carriers' balance. Compared to multi-layer EL device, such dyads and triads containing carrier-transporting moiety could be utilized in single-layer EL device to avoid the layer–layer exciton quenching and micro-cavity effects. Additionally, the characteristics of multi-layer devices fabricated with these compounds have also been studied in an effort to improve the device efficiency and to gain insight into the luminescent mechanism.

## 2. Experimental

UV-Vis spectra were recorded on a Shimadzu UV-260 spectrometer and fluorescence spectra were determined with a Hitachi-850 fluorescence spectrometer. <sup>1</sup>H NMR spectra were recorded on a Bruker AM500 spectrometer with tetramethylsilane as internal reference. Mass spectra

were obtained on a Hitachi M80 instruments or Mariner time-of-flight (TOF, TIS ion source, PE Corp.). Infrared spectra were measured on a Shimadzu IR-408. Elemental analysis data were obtained on a Perkin-Elmer 240c instrument. Glass-transition temperatures (*T<sub>g</sub>*) were measured using a differential scanning calorimeter TA DSC 2910 Instrument at a scan rate of 10 °C/min. All solvents were distilled prior to use. Reactions were monitored by thin-layer chromatography.

### 2.1. General procedure for synthesizing carbazole–naphthalimide dyads and triads

The synthetic route was illustrated in Scheme 1. Amino-substituted *N*-alkylcarbazole was prepared according to the literature [24]. A mixture of 4-substituted-1,8-naphthalic anhydride (NA1 or NA2), amino-substituted *N*-alkylcarbazole, piperidine (as catalyst) and 2-methoxyethanol was refluxed for 8 h under argon atmosphere monitored by the thin layer chromatography (TLC). After standing overnight, the precipitate was filtered and recrystallized from absolute ethanol twice or three times to give pure target compounds, which were characterized by <sup>1</sup>H NMR, IR, MS and elemental analysis.

#### 2.1.1. Compound 1A (6-piperidino-2-(9-ethyl-9H-carbazol-3-yl)-benzo[de]isoquinoline-1,3-dione)

Yield 53%, mp >270 °C. IR (KBr): 3030, 2930, 2910, 1700, 1650, 1585, 1490, 1450, 1360, 1340, 1230, 800, 780, 760, and 730 cm<sup>-1</sup>. <sup>1</sup>H NMR (in d<sub>6</sub>-DMSO): 1.36 (t, *J* = 7.0 Hz, 3H, –NCH<sub>2</sub>CH<sub>3</sub>), 1.64 (t, 2H, piperidine ring), 1.84 (m, 4H, piperidine ring), 3.23 (m, 4H, piperidine ring), 4.50 (q, 2H, –NCH<sub>2</sub>CH<sub>3</sub>), 7.20 (t, *J* = 7.4, and 7.5 Hz, 1H), 7.36 (d, *J* = 8.2 Hz, 1H), 7.39 (dd, *J* = 8.5, and 1.7 Hz, 1H), 7.48 (t, *J* = 7.3, and 8.2 Hz, 1H), 7.66 (d, *J* = 8.2 Hz, 1H), 7.70 (d, *J* = 8.5 Hz, 1H), 7.85 (t, *J* = 8.2, and 8.5 Hz, 1H),

8.10 (d,  $J = 2.0$  Hz, 1H), 8.12 (d,  $J = 7.1$  Hz, 1H), 8.42 (d,  $J = 7.9$  Hz, 1H), 8.47 (d,  $J = 8.2$  Hz, 1H), 8.50 (d,  $J = 8.3$  Hz, 1H). MS (EI 70 eV),  $m/e$ : 474 (13.399%) [ $M^+ + 1$ ], 473 (32.088%) [ $M^+$ ]. Anal. calcd. for  $C_{31}H_{27}N_3O_2$ : C 78.62%, H 5.75%, N 8.87%. Found: C 78.43%, H 5.53%, N 8.94%.

#### 2.1.2. Compound 2A (6-piperidino-2-(9-hexyl-9H-carbazol-3-yl)-benzo[de]isoquinoline-1,3-dione)

Yield 48%, mp 244–246 °C. IR (KBr): 3030, 2930, 2900, 1700, 1650, 1585, 1490, 1450, 1360, 1340, 1230, 800, 780, 750, and 730  $cm^{-1}$ .  $^1H$  NMR (in  $CDCl_3$ ): 0.89 (t,  $J = 7.0$  Hz, 3H,  $CH_3$ –), 1.35 (m, 6H,  $-(CH_2)_3$ –), 1.75 (t, 2H, piperidine ring), 1.92 (m, 6H,  $-NCH_2CH_2$ – and piperidine ring), 3.28 (m, 4H, piperidine ring), 4.33 (t,  $J = 7.2$  Hz, 2H,  $-NCH_2CH_2$ –), 7.21 (t,  $J = 7.6$ , and 5.9 Hz, 1H), 7.24 (d, 1H), 7.37 (dd,  $J = 8.5$ , and 2.0 Hz, 1H), 7.42 (d, 1H), 7.46 (t, 1H), 7.52 (d,  $J = 8.6$  Hz, 1H), 7.72 (d,  $J = 7.40$  Hz, 1H), 8.03 (m, 2H), 8.46 (d,  $J = 8.4$  Hz, 1H), 8.59 (d,  $J = 8.0$  Hz, 1H), 8.65 (d,  $J = 7.2$  Hz, 1H). MS (EI 70 eV),  $m/e$ : 529 (47.733%) [ $M^+$ ]. Anal. calcd. for  $C_{35}H_{35}N_3O_2$ : C 79.37%, H 6.66%, N 7.93%. Found: C 79.21%, H 6.57%, N 8.02%.

#### 2.1.3. Compound 3A (6-piperidino-2-(9-benzyl-9H-carbazol-3-yl)-benzo[de]isoquinoline-1,3-dione)

Yield 47%, mp > 270 °C. IR (KBr): 3030, 2960, 2630, 2900, 1700, 1650, 1580, 1490, 1460, 6 1380, 1350, 1220, 800, 780, and 720  $cm^{-1}$ .  $^1H$  NMR (in  $CDCl_3$ ): 1.75 (t,  $J = 6.0$  Hz, 2H, piperidine ring), 1.92 (m, 4H, piperidine ring), 3.28 (t, 4H, piperidine ring), 5.56 (s, 2H,  $-NCH_2Ph$ ), 7.26 (m, 7H), 7.33 (dd,  $J = 8.5$ , and 2.0 Hz, 1H), 7.42 (m, 2H), 7.50 (d,  $J = 8.5$  Hz, 1H), 7.72 (q,  $J = 8.4$ , and 8.4 Hz, 1H), 8.01 (d,  $J = 8.1$  Hz, 1H), 8.06 (d,  $J = 1.9$  Hz, 1H), 8.46 (dd,  $J = 8.5$ , and 1.1 Hz, 1H), 8.57 (d,  $J = 8.0$  Hz, 1H), 8.64 (dd,  $J = 7.2$ , and 1.1 Hz, 1H). MS (EI 70 eV),  $m/e$ : 536 (8.693%) [ $M^+ + 1$ ], 535 (19.2%) [ $M^+$ ]. Anal. calcd. for  $C_{36}H_{29}N_3O_2$ : C 80.72%, H 5.46%, N 7.84%. Found: C 80.68%, H 5.49%, N 7.89%.

#### 2.1.4. Compound 4A (6-dimethylamino-2-(9-ethyl-9H-carbazol-3-yl)-benzo[de]isoquinoline-1,3-dione)

Yield 42%, mp > 270 °C. IR (KBr): 3030, 2980, 2960, 2900, 1690, 1650, 1580, 1490, 1360, 1240, 1180, 1140, 780, and 740  $cm^{-1}$ .  $^1H$  NMR (in  $CDCl_3$ ): 1.48 (t,  $J = 7.2$  Hz, 3H,  $CH_3CH_2N$ –), 3.15 (s, 6H,  $-N(CH_3)_2$ ), 4.42 (q,  $J = 7.2$  Hz, 2H,  $CH_3CH_2N$ –), 7.17 (d,  $J = 8.2$  Hz, 1H), 7.22 (d,  $J = 8.3$ , 7.4, and 1.0 Hz, 1H), 7.38 (d,  $J = 8.5$ , and 2.0 Hz, 1H), 7.41 (d,  $J = 8.2$  Hz, 1H), 7.48 (d,  $J = 8.2$ , 7.0, and 1.0 Hz, 1H), 7.56 (d,  $J = 8.5$  Hz, 1H), 7.72 (q,  $J = 8.5$  Hz,  $J = 8.5$  Hz, 1H), 8.03 (d,  $J = 8.1$  Hz, 1H), 8.05 (s, 1H), 8.51 (dd,  $J = 8.5$ , and 1.1 Hz, 1H), 8.56 (d,  $J = 8.2$  Hz, 1H), 8.65 (dd,  $J = 7.2$ , and 1.1 Hz, 1H). MS (EI 70 eV),  $m/e$ : 434 (21.934%) [ $M^+ + 1$ ], 433 (55.976%) [ $M^+$ ]. Anal. calcd. for  $C_{28}H_{23}N_3O_2$ : C 77.58%, H 5.35%, N 9.69%. Found: C 77.63%, H 5.44%, N 9.58%.

#### 2.1.5. Compound 5A (6-dimethylamino-2-(9-hexyl-9H-carbazol-3-yl)-benzo[de]isoquinoline-1,3-dione)

Yield 51%, mp 240–242 °C. IR (KBr): 3030, 2960, 2930, 2900, 1695, 1650, 1580, 1490, 1460, 1360, 780, 750, and 730  $cm^{-1}$ .  $^1H$  NMR (in  $CDCl_3$ ): 0.84 (t,  $J = 7.0$  Hz, 3H,  $-CH_2CH_3$ ), 1.34 (m, 6H,  $-(CH_2)_3$ –), 1.91 (m, 2H,  $-NCH_2CH_2CH_2$ –), 3.15 (s, 6H,  $-N(CH_3)_2$ ), 4.33 (t,  $J = 7.2$  Hz, 2H,  $-NCH_2CH_2$ –), 7.16 (d,  $J = 8.2$  Hz, 1H), 7.21 (t,  $J = 7.3$ , and 7.4 Hz, 1H), 7.37 (dd,  $J = 8.5$ , and 1.0 Hz, 1H), 7.42 (d,  $J = 8.2$  Hz, 1H), 7.47 (t,  $J = 7.7$ , and 7.3 Hz, 1H), 7.54 (d,  $J = 8.5$  Hz, 1H), 7.71 (t,  $J = 7.8$ , and 7.9 Hz, 1H), 8.02 (d,  $J = 8.0$  Hz, 1H), 8.04 (d,  $J = 1.7$  Hz, 1H), 8.50 (d,  $J = 8.4$  Hz, 1H), 8.55 (d,  $J = 8.2$  Hz, 1H), 8.65 (d,  $J = 7.1$  Hz, 1H). MS (EI 70 eV),  $m/e$ : 490 (100%) [ $M^+ + 1$ ]. Anal. calcd. for  $C_{32}H_{31}N_3O_2$ : C 78.50%, H 6.38%, N 8.58%. Found: C 78.59%, H 6.40%, N 8.64%.

#### 2.1.6. Compound 6A (6-dimethylamino-2-(9-benzyl-9H-carbazol-3-yl)-benzo[de]isoquinoline-1,3-dione)

Yield 55%, mp > 270 °C. IR (KBr): 3030, 2960, 2940, 2900, 1695, 1650, 1580, 1490, 1460, 1360, 780, 750, and 730  $cm^{-1}$ .  $^1H$  NMR (in  $d_6$ -DMSO): 3.14 (s, 6H,  $-N(CH_3)_2$ ), 5.75 (s, 2H,  $-CH_2Ar$ ), 7.27 (m, 7H), 7.37 (dd,  $J = 8.6$ , and 2.0 Hz, 1H), 7.49 (d,  $J = 7.3$ , and 1.1 Hz, 1H), 7.72 (d,  $J = 8.41$  Hz, 1H), 7.75 (d,  $J = 8.7$  Hz, 1H), 7.81 (q,  $J = 8.5$ , and 8.5 Hz, 1H), 8.15 (d,  $J = 2.0$  Hz, 1H), 8.17 (d,  $J = 7.9$  Hz, 1H), 8.39 (d,  $J = 8.3$  Hz, 1H), 8.50 (dd,  $J = 7.2$ , and 1.0 Hz, 1H), 8.79 (dd,  $J = 8.5$ , and 1.0 Hz, 1H). MS (EI 70 eV),  $m/e$ : 494 (69.857%) [ $M^+ - 1$ ]. Anal. calcd. for  $C_{33}H_{25}N_3O_2$ : C 79.98%, H 5.08%, N 8.48%. Found: C 79.92%, H 5.18%, N 8.49%.

#### 2.1.7. Compound 1B (6-piperidino-2-[7-(6-piperidino-benzo[de]isoquinoline-1,3-dione-2-yl)-9-ethyl-9H-carbazol-3-yl]-benzo[de]isoquinoline-1,3-dione)

Yield 52%, mp > 270 °C. IR (KBr): 2930, 2860, 1700, 1670, 1585, 1490, 1460, 1360, 1230, 790, and 760  $cm^{-1}$ .  $^1H$  NMR (in  $d_6$ -DMSO) 1.45 (t,  $J = 7.0$  Hz, 3H,  $-NCH_2CH_3$ ), 1.68 (t, 4H, piperidine ring), 1.86 (m, 8H, piperidine ring), 3.23 (t, 8H, piperidine ring), 4.60 (q,  $J = 7.0$  Hz, 2H,  $-NCH_2CH_3$ ), 7.36 (m, 2H), 7.45 (dd,  $J = 8.7$ , and 2.0 Hz, 2H), 7.79 (d,  $J = 8.7$  Hz, 2H), 7.85 (m, 2H), 8.10 (d,  $J = 2.0$  Hz, 2H), 8.43 (dd,  $J = 8.0$ , and 3.5 Hz, 2H), 8.80 (m, 4H). MALDI-TOF MS,  $m/e$ : 774.0067 [ $M^+ + Na$ ] (calcd. for  $C_{48}H_{41}N_5O_4^+ + Na$  774.3056). Anal. calcd. for  $C_{48}H_{41}N_5O_4$ : C 76.68%, H 5.50%, N 9.31%. Found: C 76.62%, H 5.54%, N 9.37%.

#### 2.1.8. Compound 2B (6-piperidino-2-[7-(6-piperidino-benzo[de]isoquinoline-1,3-dione-2-yl)-9-benzyl-9H-carbazol-3-yl]-benzo[de]isoquinoline-1,3-dione)

Yield 62%, mp > 270 °C. IR (KBr): 2930, 2860, 1700, 1670, 1590, 1490, 1460, 1360, 1235, 790, 760, and 730  $cm^{-1}$ .  $^1H$  NMR (in  $d_6$ -DMSO): 1.66 (t, 4H, piperidine ring), 1.83 (m, 8H, piperidine ring), 3.23 (t, 8H, piperidine

ring), 5.80 (s, 2H,  $-\text{NCH}_2\text{Ph}$ ), 7.29 (m, 2H), 7.34 (dd, 4H), 7.36 (s, 1H), 7.45 (dd,  $J = 9.0$ , and 1.9 Hz, 2H), 7.82 (m, 4H), 8.12 (d,  $J = 1.9$  Hz, 2H), 8.40 (d,  $J = 8.2$  Hz, 2H), 8.46 (dd,  $J = 7.3$ , 8.6 Hz, 4H). Anal. calcd. for  $\text{C}_{53}\text{H}_{43}\text{N}_5\text{O}_4$ : C 78.21%, H 5.32%, N 8.60%. Found: C 78.61%, H 5.37%, N 8.47%.

**2.1.9. Compound 3B** (6-dimethylamino-2-[7-(6-dimethylamino-benzo[de]isoquinoline-1,3-dione-2-yl)-9-ethyl-9H-carbazol-3-yl]-benzo[de]isoquinoline-1,3-dione)

Yield 44%, mp  $>270^\circ\text{C}$ . IR (KBr): 2930, 2860, 1700, 1670, 1580, 1490, 1450, 1360, 1230, 780, and 760  $\text{cm}^{-1}$ .  $^1\text{H}$  NMR (in  $d_6$ -DMSO) 1.45 (t,  $J = 7.1$  Hz, 3H,  $\text{CH}_3\text{CH}_2\text{N}-$ ), 3.13 (s, 12H,  $-\text{N}(\text{CH}_3)_2$ ), 4.60 (q,  $J = 7.1$  Hz, 2H,  $\text{CH}_3\text{CH}_2\text{N}-$ ), 7.27 (d,  $J = 8.4$  Hz, 2H), 7.44 (dd,  $J = 8.6$ , and 2.0 Hz, 2H), 7.80 (m, 4H), 8.10 (d,  $J = 1.9$  Hz, 2H), 8.38 (d,  $J = 8.3$  Hz, 2H), 8.50 (dd,  $J = 7.3$ , and 1.0 Hz, 2H), 8.58 (d,  $J = 8.5$  Hz, 2H). Anal. calcd. for  $\text{C}_{42}\text{H}_{33}\text{N}_5\text{O}_4$ : C 75.10%, H 4.95%, N 10.43%. Found: C 75.28%, H 5.12%, N 10.13%.

**2.2. EL device fabrication and characterization**

bis [2-(2-(Hydroxyphenyl)pyridinato)beryllium ( $\text{BePP}_2$ ), CuPc and TPD ( $N,N'$ -diphenyl- $N,N'$ -bis(3-methylphenyl)-1,1'-diphenyl-4,4'-diamine) were used as received. When we made EL device using these compounds, all compounds have to be purified by means of vacuum sublimation, which was common method to get the optical characterizations of the new compounds. Typical single-layer EL devices 1 (ITO/compounds NI-VK or NI-VK-NI (50–100 nm)/LiF (1 nm)/AlLi) and multi-layer device 2 (ITO/CuPc (10 nm)/TPD (10 nm)/compound 4A (30 nm)/ $\text{BePP}_2$  (45 nm)/LiF (1 nm)/AlLi) were vacuum deposited at a pressure below  $10^{-7}$  Torr. ITO-on-glass substrates ( $20 \Omega/\square$ ) were cleaned ultrasonically and treated with oxygen plasma. The electrode area of the EL devices is  $0.28 \text{ cm}^2$ . The EL spectra were recorded on a PTI QM1 luminescence spectrometer. Device brightness was obtained from the measured photodiode currents, using the relationship between photocurrent and brightness measured on the PR-650. All measurements were carried out at room temperature under ambient atmosphere.

**3. Results and discussion**

The synthesis of carbazole-naphthalimide dyads is depicted in Scheme 1. 4-Bromo-1,8-naphthalic anhydride condensed readily with excess nucleophilic secondary amines (piperidine or dimethylamine) to give 4-piperidinonaphthalic anhydride (NA1) or 4-dimethylaminonaphthalic anhydride (NA2). Mono- or dinitro- $N$ -alkylcarbazoles were prepared through two steps (phase-transferring catalytic alkylation and nitration) according to the literature [24]. The key intermediates mono- or diamino- $N$ -alkylcarbazoles, which were prepared by the reduction of relative nitro-substituted  $N$ -alkylcarbazoles with stannous chloride in hydrochloric acid, could be used in the condensation without further purification. Because the basicity of the aromatic amine is much weaker than that of aliphatic amine, the imidization of NA1 or NA2 with relative amino-substituted  $N$ -alkylcarbazole undergoes with much more difficulty. However, the imidization of anhydride can be catalyzed by base or weak acid. We found the imidization could give satisfactory yield in 2-methoxyethanol with the base catalysis of piperidine. The molecular structures were confirmed by elemental analysis, infrared spectra, mass spectra and  $^1\text{H}$  NMR.

The absorption and emission of the dyads and triads in chloroform are listed in Table 1. Comparing with 4-dimethylamino- $N$ -ethyl-1,8-naphthalimide (its absorption peak at 415.6 nm in chloroform), the peak at about 409–415 nm is corresponding to the absorption of the naphthalimide moiety. Compared to  $N$ -dimethylamio analogues, a hypsochromic shift about 5 nm in  $N$ -piperidino derivatives is observed. All compounds display strong greenish-yellow fluorescence. Considering the emission of  $N$ -ethyl-4-dimethylamino-1,8-naphthalimide ( $\lambda_{\text{max}}^{\text{ex}} = 415.6 \text{ nm}$ ,  $\lambda_{\text{max}}^{\text{fl}} = 503 \text{ nm}$  in  $\text{CHCl}_3$ ), the fluorescence peak (500–512 nm) is originated from the naphthalimide moiety regardless of different excitation wavelengths, a case in point is the PL spectra of compound 6A (curves b and c illustrated in Fig. 1). Although 3-amino- $N$ -ethylcarbazole emitted light (peak at 355 nm in chloroform, curve d), the PL emission of carbazole moiety in NI-VK or NI-VK-NI was not detected. This shows that in such naphthalimide derivatives the emission of carbazole moiety is quenched,

Table 1

Absorption ( $\lambda_{\text{max}}^{\text{ab}}$ , nm) and fluorescence maximum wavelength ( $\lambda_{\text{max}}^{\text{fl}}$ , nm) of NI-VK and NI-VK-NI in  $\text{CHCl}_3$  ( $2.5 \times 10^{-5} \text{ mol/l}$ )

	Compounds								
	1A	2A	3A	4A	5A	6A	1B	2B	3B
$\lambda_{\text{max}}^{\text{ab}}$	267.4 (4.50)	268 (4.56)	265.6 (4.60)	267.6 (4.50)	268.0 (4.51)	264.8 (4.56)	269.8 (4.57)	267.6 (4.57)	268.8 (4.54)
$\log \epsilon$	409.4 (4.07)	411.4 (4.14)	411.8 (4.15)	416.0 (4.07)	416.4 (4.07)	416.8 (4.13)	409.8 (4.27)	410.4 (4.32)	416.2 (4.26)
$\lambda_{\text{max}}^{\text{fl}}$	510	511	511	503	507	500	510	509	504
$\phi_{\text{fl}}^{\text{a}}$		23.9	61.4	66.6	81.2	66.3	54.8	87.8	51.8

<sup>a</sup> The emissions were obtained by the excitation at relative longer absorption peak.

<sup>b</sup> The relative fluorescence quantum yields ( $\phi_{\text{fl}}$ ) of these compounds were measured by comparison with Rhodamine 6G as reference (defined as 1.00).

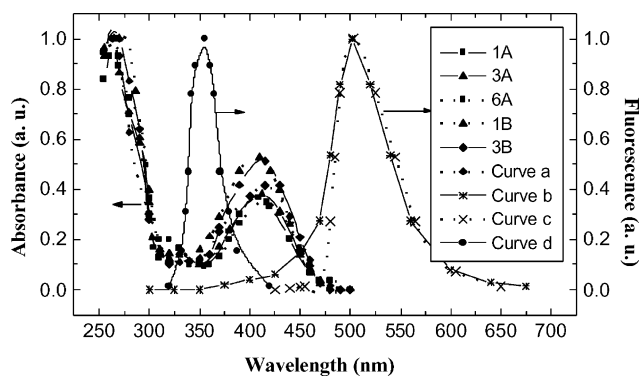


Fig. 1. Absorption spectra of carbazole–naphthalimide dyads **1A**, **3A**, **6A**, **1B**, and **3B** in  $\text{CHCl}_3$ . Curve a is the absorption of the equivalent-molar mixture of 3-amino-*N*-ethyl carbazole and 4-dimethylamino-*N*-ethyl-1,8-naphthalimide. Curves b and c are PL spectra of compound **6A** excited at 264.8 and 416.4 nm, respectively. Curve d is the PL spectra of 3-amino-*N*-ethylcarbazole excited at 265 nm.

which means that there exist an effective singlet–singlet energy transfer due to the overlap between the absorption of naphthalimide moiety and the emission of carbazole moiety.

Comparing with the absorption of the corresponding mixture of 1,8-naphthalimide and carbazole (shown in Fig. 1), the absorption of these novel dyads in solution is almost the sum of that of the constitute chromophores. It implies that there is no interaction between chromophores in their ground state. This is also explained according to the molecular conformation. As shown in Fig. 2, the optimized molecular structure of NI–VK calculated by using MOPAC AM1 and PM3 methods shows that the carbazole moiety is twisted by the steric hindrance of carbonyl group of naphthalimide, the dihedral angle between the plane of carbazole and naphthalimide units is  $51.46^\circ$ . Hence, the  $\pi$ -electron of carbazole moiety cannot delocalize to that of naphthalimide moiety and the individual absorption characteristics of the chromophores should be maintained. In addition, the *N*-substituted group of carbazole moiety is far

away from the naphthalimide moiety and does not influence the absorption and fluorescence maximum wavelength of NI–VK dyads. Hence, such molecular assembly incorporating hole-transporting moiety into emitting unit does not change the degree of conjugation and the color of the original emitting chromophore. This could overcome the disadvantage that polymeric emitting light is dependent on the degree of conjugated polymers [20].

The photo-electronic equipment has to be designed to accept temperature excursions as high as  $80^\circ\text{C}$ . To date, a number of stable organic glasses have been reported. A typical example is 4,4',4''-tri(*N*-carbazolyl)triphenylamine (TCTA), a starburst molecule with a glass-transition temperature ( $T_g$ ) as high as  $151^\circ\text{C}$  described by Shirota and coworkers in 1994 [25]. Materials having high glass-transition temperatures are particularly desirable for enhancing the stability and lifetime of the devices [26,27]. In fact, such twisted non-planar molecular conformation and the alkyl substituted group of dyads (NI–VK) and triads (NI–VK–NI) can prevent easy spacial reorientation of the molecules, thus eliminating the recrystallization tendency and favoring the formation of stable amorphous state. The thermal stability of such compounds was determined on a differential scanning calorimeter (DSC) under the analysis program of  $10^\circ\text{C}/\text{min}$  from 25 to  $350^\circ\text{C}$ . As illustrated in Fig. 3, the initial heating trace of compound **4A** showed a sharp melting endothermic peak at  $310^\circ\text{C}$  (the melting point,  $T_m$ ). Then the sample was cooled down from the melt at the cooling rate of  $10^\circ\text{C}/\text{min}$ , it spontaneously formed a supercooled liquid state. When the sample was heated again, an endothermic phenomenon was heated at  $116^\circ\text{C}$ , at which the glassy state changed into the supercooled liquid state ( $T_g$ ). Then a broad exothermic peak due to the crystallization was observed around  $188^\circ\text{C}$ , followed by the endothermic peak due to the melting at  $307^\circ\text{C}$ . Similar thermal properties with compound **2B** ( $T_g = 130.7^\circ\text{C}$ ) was also observed in Fig. 4. However, no distinct glass-transition state was observed when compound **3A** was taken on the second heat (shown in Fig. 4). Thermal analysis results therefore, indicated that these dyads and triads

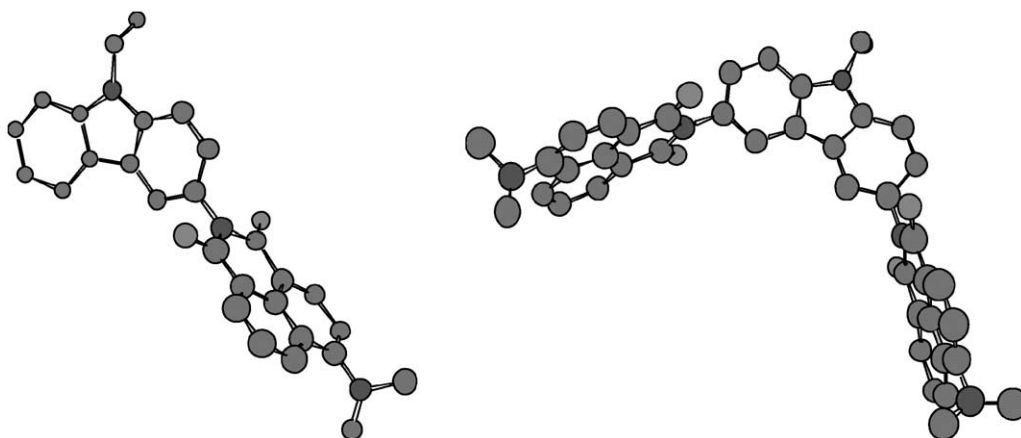


Fig. 2. Optimized molecular structure of compounds **4A** and **3B** calculated by using MOPAC AM1 and PM3 methods.



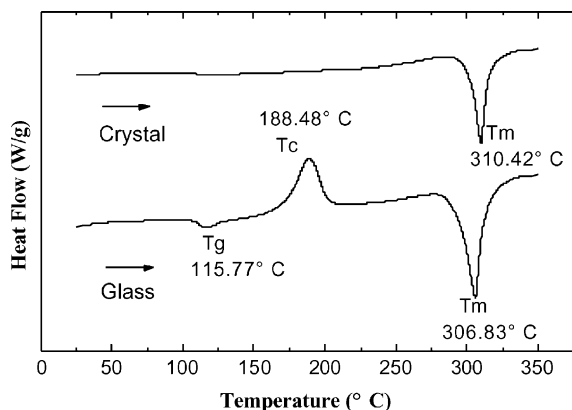


Fig. 3. Differential scanning calorimeter traces of compound 4A.

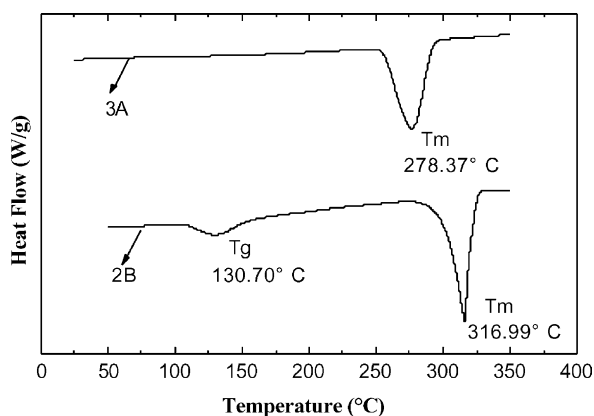


Fig. 4. Differential scanning calorimeter traces of compound 3A and 2B, taken on the second heat after cooled down from the melt at the cooling rate of 10°C/min.

have relative high  $T_g$  and good thermal stability, which is very essential for fabricating stable organic EL devices.

Two types of EL devices were fabricated. Device 1 has an architecture of ITO/compounds NI-VK or NI-VK-NI (50–100 nm)/LiF (1 nm)/AlLi, which has only single-layer

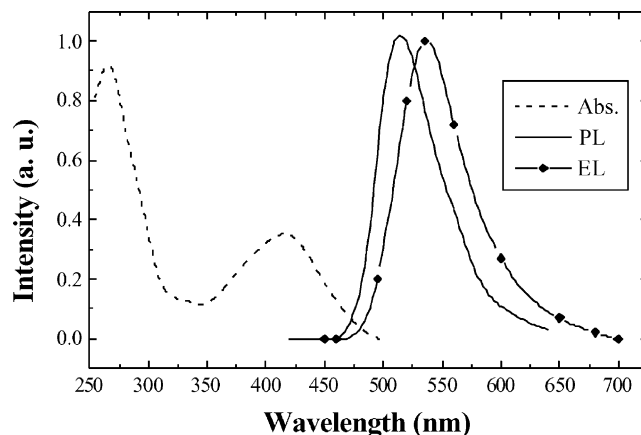


Fig. 5. Absorption, PL spectra of compound 4A in  $\text{CHCl}_3$  and EL spectrum (ITO/compound 4A (53 nm)/LiF (1 nm)/AlLi).

and only one molecular component between the electrodes. Device 2 has a architecture of ITO/CuPc (10 nm)/TPD (10 nm)/compound 4A (30 nm)/BePP<sub>2</sub> (45 nm)/LiF (1 nm)/AlLi. To improve the performance of the two EL devices, a 1.0 nm LiF layer was inserted between the cathode and organic layer. Here, the thin layer acts as an insulating layer that allows sufficient potential differences to be maintained for better electron injection into emitter and prolong the lifetime of OLEDs [28].

As shown in Fig. 5, a case in point is only emission peak at 535 nm in single-layer device (ITO/compound 4A (53 nm)/LiF (1 nm)/AlLi). Comparing with the PL spectrum of compound 4A in chloroform, the EL spectrum peak was originated from the naphthalimide unit. There was a bathochromic shift of 20 nm compared to PL. The possible reason was that vibronic peak intensity was transferred to low energy in EL. Fig. 6 illustrated the typical luminescence–current density–voltage characteristics of the present single-layer device. The maximum brightness reached 110  $\text{cd}/\text{m}^2$  at a driving voltage of 21 V. Remarkably, the device has a maximum current density of 240  $\text{mA}/\text{cm}^2$ .

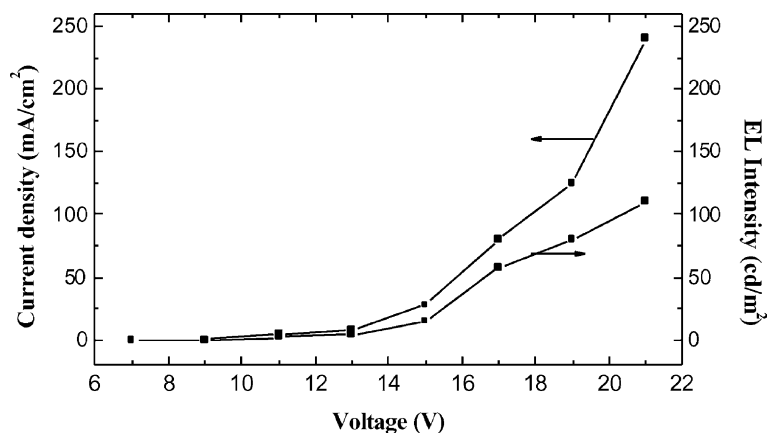


Fig. 6. Luminescence–current density–voltage characteristics of EL device (ITO/compound 4A (53 nm)/LiF (1 nm)/AlLi).

Table 2  
EL data for the compounds NI–VK and NI–VK–NI

Compounds	Turn-on voltage (V)	$\lambda_{\text{EL}}$ (nm)	Maximum brightness ( $\text{cd}/\text{m}^2$ )	Current density ( $\text{mA}/\text{cm}^2$ )
<b>1A</b>	12	539	87 (21)	202 (21)
<b>2A</b>	11	539	90 (20)	190 (20)
<b>3A</b>	11	540	120 (21)	260 (21)
<b>4A</b>	11	535	110 (21)	240 (21)
<b>5A</b>	Not determine			
<b>6A</b>	10	532	98 (18)	140 (18)
<b>1B</b>	7	539	238 (18)	271 (18)
<b>2B</b>	8	538	260 (18)	282 (18)
<b>3B</b>	8	534	224 (18)	253 (18)

Values given in parenthesis are expressed in volts.

Up to now, one had to take at least double or triple layer arrangements for an efficient OLED. It implies that such NI–VK dyads with introducing carrier-transporting unit could indeed increase the hole and electron injection and such molecular design maybe hopeful for exploring new functional molecules to fabricate single-layer EL device. In order to improve the performance of single-layer device, we also made the chemical structure modification and synthesized compound **1B–3B** (twisted triads NI–VK–NI). Table 2 outlined the preliminary single-layer devices characteristics (ITO/compounds NI–VK or NI–VK–NI (50–100 nm)/LiF (1 nm)/AlLi). In particular, triads (**1B–3B**) display higher brightness, lower turn-on voltage (defined as the voltage required to achieve a brightness of  $1 \text{ cd}/\text{m}^2$ ) than that of dyads NI–VK, which suggest that incorporating two carbazole units in triads can increase carrier-transporting bal-

ance and prevent some percentage of electrons leaking up to the anode. As well known, the electron or hole thermodynamic transporting is dependent on orbital level. For our case, although the HOMO level (5.8 eV) is not so different from that of naphthalimide (5.5 eV), we believe the kinetics in the presence of carbazole unit play much role in the carrier mobility for the efficient EL generation, which can be characterized by the time-of-flight technique [29]. Actually, poly(vinylcarbazole) (PVK) is a great promising hole-transporting and electron-blocking candidates. Moreover, such dyads and triads is a model for designing twisted oligomers, whose luminance is only dependent on the luminescent moiety.

We also studied the device 2 with introducing additional electron-transporting layer (BePP<sub>2</sub>) and hole-transporting layer (CuPc and TPD), in an effort to improve the device

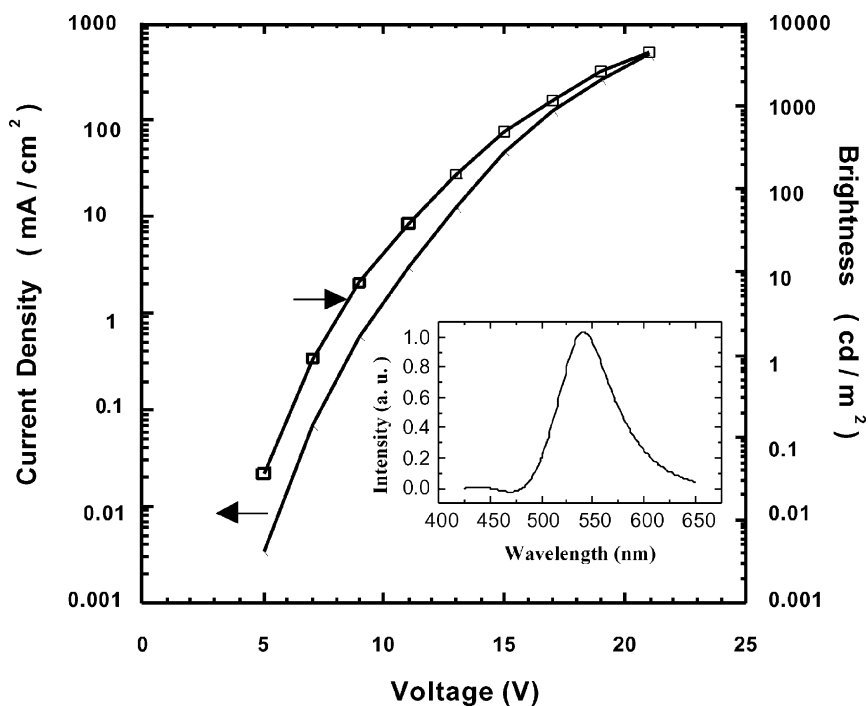


Fig. 7. EL spectrum and luminance–current density–voltage characteristics of device 2: ITO/CuPc (10 nm)/TPD (10 nm)/compound **4A** (30 nm)/BePP<sub>2</sub> (45 nm)/LiF (1 nm)/AlLi.

efficiency and to gain insight into the luminescent mechanism. Only emission from naphthalimide was also observed, i.e. identical to device 1. The maximum luminescence of the device 2 reached 4800 cd/m<sup>2</sup> at the driving voltage of 21 V, the maximum current density increased up to 410 mA/cm<sup>2</sup> (shown in Fig. 7). It is thought that the additional carrier-transporting layers increased carrier mobility and facilitated more carrier-injection.

In both EL devices 1 and 2, only greenish-yellow emission from naphthalimide moiety was observed and the carbazole unit did not emit light in the operation of the devices. This can be explained as follows, especially for the device 1 with the single-layer. Electrons are injected from cathode to the LUMO orbital of the high electron affinity naphthalimide moiety (about 3.1 eV) and holes are also injected from ITO (4.6 eV) to the HOMO orbital of carbazole moiety (HOMO level 5.8 eV). Then the holes are transferred intramolecularly to HOMO orbital of naphthalimide (ca. 5.5 eV). Hence, the holes and electrons recombine in the naphthalimide moiety to form excitons that undergo radiative decay resulting in the naphthalimide characteristic emission. The carbazole moiety indeed facilitates the hole injection and act as electron-blocking function.

In fact, it is anticipated that relatively stable cation radicals could be formed by blocking the 3, 6 and 9 positions of carbazole moiety in such NI–VK dyads in that the active ring sites are the two position *para* to the nitrogen atom [30]. The naphthalimide shows good thermal stability (because of the imide link) and high electron affinity EA (because of the carbonyl moiety), thus being favorable to obtain electron to form stable anion radicals [20]. Hence, such dyads possess the desired bipolar emitter character, generating stable cation and anion radicals for exciton recombination [31]. It implied that such design method, i.e. incorporating carrier-transporting unit into emitter unit via covalent bonds, is reasonable and effective. Further work to optimize EL devices of compounds NI–VK and NI–VK–NI is in progress.

#### 4. Conclusions

We introduced a carbazole moiety as hole-transporting unit to develop a novel family of twisted luminescent naphthalimide derivatives for OLED applications. This led to the design and synthesis of novel yellow–green emitters with carrier-balance and sterically hindered structure. Such molecular assembly incorporating hole-transporting moiety into emitting unit does not change the degree of conjugation and the color of the original emitting chromophore. The relative high  $T_g$  values for the naphthalimide–carbazole dyads or triads are particularly desirable for enhancing the stability and lifetime of opto-electronic devices. Such promising bipolar emitters were successfully utilized in single-layer sandwiched EL device to avoid the layer–layer exciton quenching and micro-cavity effect. The fluorescence emission of this kind of materials can be tuned (from blue

to yellow, green) with amino- and alkoxy- groups at the 4-position of naphthalimide. It implies that such naphthalimide derivatives can be custom-tuned for specific OLED applications for full color with high performance, such as good photo-stability, high fluorescent quantum yield and high electron affinity.

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